

# SQ: Emergency Preparedness



Welcome to **SQ: Emergency Preparedness**.

Select START MODULE to begin.

**Be sure to click on all of the interactive elements in the module in order to advance.**

Introduction

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Module Conclusion

# Introduction

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This module will review the following:

- 1 The purpose of an emergency preparedness program
- 2 The structure and essential elements of an emergency preparedness program
- 3 The importance of including emerging infectious diseases in the hazard assessment and planning for these situations
- 4 Staff responsibilities during an emergency

Please look at the important terms before you begin.

Select "+" to expand.

## Glossary —

### All-hazards approach

A combined way to handle emergency preparedness planning that focuses on the facility's location, capacities (bed space), and capabilities (medical abilities) to prepare for all types of emergencies or disasters

### At-risk population

People who might have extra needs in one or more areas such as independence, communication, transportation, supervision, or medical care

**Bioterrorism**

Release of germs to make people sick

**Centers for Medicare & Medicaid Services (CMS)**

The government program that oversees Medicare and Medicaid

**Emerging infectious disease (EID)**

A new illness may also be an illness attack

**Emergency/Disaster**

A natural or human-made event that has a bad impact

**Emergency preparedness**

Being ready to respond

**Emergency preparedness plan**

A plan to respond to a disaster

**Emergency preparedness program**

The group that makes, reviews, and edits plans

**Hazard**

A risk

**Risk assessment**

Facility-based process for identifying possible risks or weaknesses

Let's get started!

CONTINUE

# Emergency Preparedness Program

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## Purpose



A disaster can come in many forms. The best way to respond to a disaster is to have a written plan. This plan is often called the **emergency preparedness plan**.

The **Centers for Medicare & Medicaid Services (CMS)** develops **conditions of participation (CoPs)** requiring healthcare providers and suppliers to have an emergency preparedness plan.

**Having a plan allows everyone to prepare and know their role.** According to CMS, the purpose of the EP is to ensure adequate planning for both natural and man-made disasters and coordination with federal, state, tribal, regional, and local emergency preparedness systems. The plan will align responses both inside and outside the facility.

## Structure

Healthcare providers are required to have an emergency preparedness plan by the **Centers for Medicare & Medicaid Services (CMS)**. Emergency preparedness programs serve as the basis of emergency preparedness plans.

The requirements vary by type of provider. These requirements are found in the **State Operations Manual (SOM)**. The emergency preparedness details are found in Appendix Z of the SOM.



**Visit the CMS website to learn more.**

**When developing an emergency plan, four essential elements are required. Let's take a closer look at each element:**

### **Risk Assessment and Planning**

- The risk assessment should be based on an **all-hazards approach**, with a focus on a **facility's location, capacities** (bed space), and **capabilities** (medical abilities).
- The plan should include strategies to handle emergency events identified by the risk assessment such as **staffing, extra patients, and evacuation plans**.

- The plan needs to identify **types of services** the facility would be able to provide and which staff would have **specific roles** if another person is not available.
- The plan has to name who is **able to activate (start) the emergency plan** and who may do it if that person is not available. It should also include a process for working with local, tribal, regional, state, and federal officials to maintain a combined response.
- The emergency plan is required to be reviewed and updated every **two years**.



## Communication Plan

- A facility is required to develop an **emergency preparedness communication plan** that is reviewed and updated every **two years**. The plan has to follow federal, state, and local laws.
- A facility is required to develop an **incident response team** that represents **all facility areas**.

- The plan should outline **how to coordinate care** within the facility, across all providers, and with public health departments and emergency management systems.
- The emergency communication plan is required to have the **names and contact information** of all individuals and entities involved in carrying out the plan, for example, current staff, volunteers, federal, state, tribal, regional, and local emergency preparedness staff.



## Policies and Procedures

- A facility is required to develop and implement **policies and procedures** based on **emergency and communication plans**. These policies and procedures need to be reviewed and updated every **two years**.
- For **inpatient care facilities**, the policies and procedures have to address, **at the very least**, issues such as items needed for survival, evacuation, sheltering in place, and tracking patients and staff in an emergency. They should also identify **other energy sources** to maintain lighting, fire alarms, temperatures, and waste disposal.
- The policies and procedures are required also to address an alternate system for the **confidential documentation and availability of medical and patient information**.



- These policies and procedures should **outline staffing**, including using volunteers and state or federally sourced healthcare professionals to address needs in the event of an emergency or disaster.



## **Training and Testing**

- Training in the emergency preparedness policies and procedures should be conducted for all **new employees at orientation** (or shortly after) and **at least every two years for existing staff**. Training should also be provided on any policies or procedures that are **greatly updated** between the regularly scheduled training.
- Training should include **role-based responsibilities** during an emergency or disaster event. This may include how to shelter-in-place or evacuate, how the facility manages continuing care for individuals, processes for triage, and transfers or discharges, and the use of proper

## personal protective equipment (PPE)

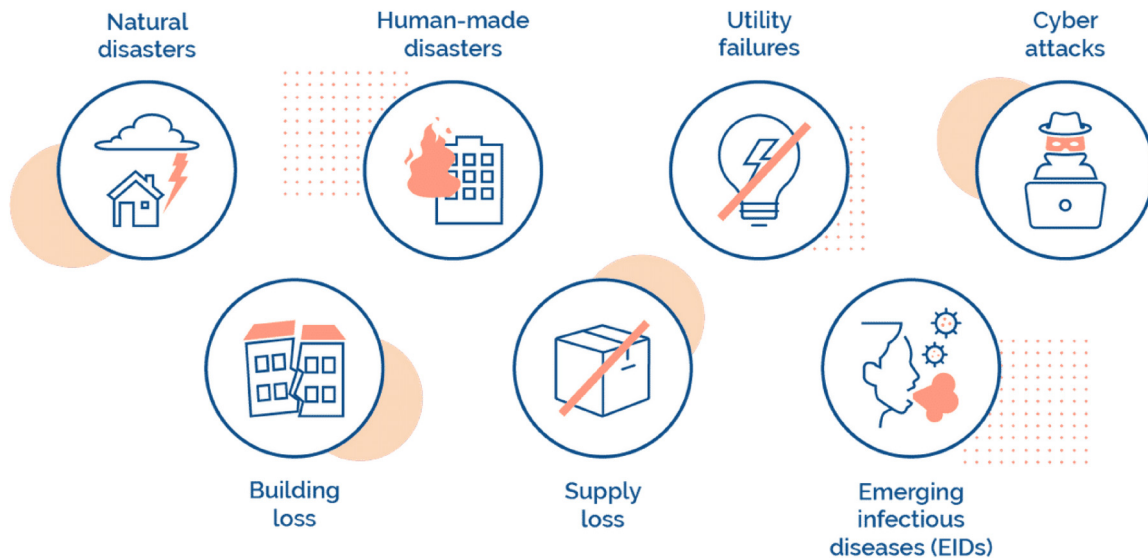
- Training should also include **lessons learned** from previous exercises, drills, or actual emergencies.
- A facility should **test the emergency plan** by conducting annual drills and exercises, such as full-scale community-based mock (fake) disasters or facility-only exercises or drills (when a community-based exercise is not possible).
- The facility is required to **analyze and document the response** to all drills and exercises. Updating the facility's emergency plan should also be included in this documentation.



## Hazards

The plan is based on hazards. Hazards are included based on your location and the type of hazard likely to occur there. A hazard assessment identifies the risks.

### Hazards might include:



## Emerging Infectious Diseases (EIDs)

EIDs can come in many forms. It could be a new illness such as COVID-19 or an illness caused by a known risk. The key is that the illness can affect the operations or continuity of care. Facilities should ensure their emergency preparedness programs are aligned with their **state and local emergency plans/pandemic plans**.

Planning should include a process to **evaluate the facility's needs** based on the features of an EID that includes planning for:

- Increased **need for PPE**
- Transmission prevention through **screening** of individuals and possible **testing**
- **Admissions** to inpatient facilities or **transfers** to long term care or home health care
- **Facility adjustments** such as barriers, an increased need for isolation rooms, social distancing, and interior traffic controls

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**EID-type events are rare.** This means people are less likely to know how to respond. Without good planning for future EID events, it would be difficult to work through another pandemic.

**Having a plan in place will help people know what to do.**

Choose the best option and select **SUBMIT**.

Why do healthcare facilities need emergency preparedness plans?

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- There is a CMS rule.
- The plan helps align efforts between groups.
- The plan allows everyone to know their role.
- All of these

**SUBMIT**



Complete the content above before moving on.

# Staff Responsibilities

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Disasters can affect daily work for healthcare providers. More people may be needed, and some services may not be available.

You may be given a different job or role during a disaster to get the work done.

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**Review your emergency preparedness plan or talk with your supervisor to learn what role you may fill.**





**To fulfill your role during a disaster, you should be trained.**

As part of the CMS rule, your employer will conduct an exercise or drill. These drills help train staff in how to respond. Your supervisors may also plan for role-specific training to occur before or during a disaster.

**CONTINUE**

# Module Conclusion

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This module reviewed the following:

- 1 The purpose of an emergency preparedness program
- 2 The structure and features of the emergency preparedness program
- 3 Why emerging infectious disease should be included in the plan
- 4 Staff responsibilities during an emergency

## References

Centers for Medicare & Medicaid Services. (2020, February 21). *State Operations Manual Appendix A -- Survey Protocol, Regulations and Interpretive Guidelines for Hospitals*. [https://www.cms.gov/Regulations-and-Guidance/Guidance/Manuals/downloads/som107ap\\_a\\_hospitals.pdf](https://www.cms.gov/Regulations-and-Guidance/Guidance/Manuals/downloads/som107ap_a_hospitals.pdf)

Centers for Medicare & Medicaid Services. (2021, April 16). *State Operations Manual Appendix Z - Emergency Preparedness for All Provider and Certified Supplier Types*. [https://www.cms.gov/Regulations-and-Guidance/Guidance/Manuals/Downloads/som107ap\\_z\\_emergprep.pdf](https://www.cms.gov/Regulations-and-Guidance/Guidance/Manuals/Downloads/som107ap_z_emergprep.pdf)

Occupational Safety and Health Administration. (n.d.). *Emergency Preparedness and Response*. <https://www.osha.gov/emergency-preparedness>



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You have reached the end of this module. To exit and return to the Activity Details, select **EXIT**.